



As an employee of Kansas Athletics, or as an individual with obligations for Kansas Athletics, I understand that:

- 1) I am required to adhere to all NCAA, Big 12 Conference, University of Kansas, and Kansas Athletics rules, policies and procedures, including, without limitation, NCAA Constitution 2.1 (Principle of Institutional Control and Responsibility) and NCAA Constitution 2.8 (The Principle of Rules Compliance).
- 2) I am required to report all perceived or potential violations of NCAA, Big 12 Conference, University of Kansas, and Kansas Athletics rules, policies and procedures to the appropriate individuals (*i.e.*, Jeff Long, Susan Stagg-Williams, or David Reed).
- 3) I understand that I am required to attend all compliance rules education meetings pertaining to my job responsibilities or education pertaining to my specific department.
- 4) I am required to adhere to all Kansas Athletics Compliance Office procedural instructions including, but not limited to, (*applicable to all coaches, sport specific staff and administrative staff*):
 - (a) Staff members must submit required compliance forms and information in accordance with institutional policy.
 - (b) Staff members understand the rules related to telephone calls and text messages in regards prospective student-athletes (*e.g.*, recruiting calls and text messages).
 - (c) Staff members must appropriately log all contacts and evaluations in the designated recruiting software and provide the business office with all expenditures related to any trip that involves the recruitment of prospects through the applicable business office software.
- 5) I am required to cooperate fully in any NCAA infractions process, including the investigation and adjudication of a case. (Note: Requests and interviews by members of the Kansas Athletics Compliance Office and outside counsel require the same standard of cooperation as NCAA Enforcement Staff.)
- 6) Withholding information from the NCAA, the Kansas Athletics Compliance Office or a senior staff member regarding violations relevant to NCAA, Big 12 Conference or Kansas Athletics regulations, is a violation of NCAA unethical conduct rules as well as Big 12 Conference, and/or Kansas Athletics rules.
- 7) Refusal to furnish information relevant to an investigation of a possible violation of a NCAA regulation when requested to do so by the NCAA, the Kansas Athletics Compliance Office, outside counsel acting on behalf of the institution, or senior staff members is a violation of NCAA unethical conduct rules as well as Big 12 Conference, and/or Kansas Athletics rules.
- 8) Knowing involvement in arranging for fraudulent academic credit or false transcripts for a prospective student-athlete or an enrolled student-athlete is a violation of NCAA unethical conduct rules as well as Big 12 Conference, and/or Kansas Athletics rules.
- 9) Knowing involvement in offering or providing a prospective student-athlete or an enrolled student-athlete an improper inducement, extra benefit or improper financial aid is a violation of NCAA unethical conduct rules as well as Big 12 Conference, and/or Kansas Athletics rules.
- 10) Knowingly furnishing the NCAA, the Kansas Athletics Compliance Office or senior staff members with false or misleading information concerning my involvement in, or knowledge of, matters relevant to a possible violation of an NCAA regulation is a violation of NCAA unethical conduct rules as well as Big 12 Conference, and/or Kansas Athletics rules.
- 11) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or representative of an agent or advisor (*e.g.*, runner) is a violation of NCAA unethical conduct rules as well as Big 12 Conference, and/or Kansas Athletics rules.



2.1 The Principle of Institutional Control and Responsibility

2.1.1 Responsibility for Control. It is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. The institution's president or chancellor is responsible for the administration of all aspects of the athletics program, including approval of the budget and audit of all expenditures. (Revised: 3/8/06)

2.1.2 Scope of Responsibility. The institution's responsibility for the conduct of its intercollegiate athletics program includes responsibility for the actions of its staff members and for the actions of any other individual or organization engaged in activities promoting the athletics interests of the institution.

2.8 The Principle of Rules Compliance

2.8.1 Responsibility of Institution. Each institution shall comply with all applicable rules and regulations of the Association in the conduct of its intercollegiate athletics programs. It shall monitor its programs to ensure compliance and to identify and report to the Association instances in which compliance has not been achieved. In any such instance, the institution shall cooperate fully with the Association and shall take appropriate corrective actions. Members of an institution's staff, student-athletes, and other individuals and groups representing the institution's athletics interests shall comply with the applicable Association rules, and the member institution shall be responsible for such compliance.

2.8.2 Responsibility of Association. The Association shall assist the institution in its efforts to achieve full compliance with all rules and regulations and shall afford the institution, its staff and student-athletes fair procedures in the consideration of an identified or alleged failure in compliance.

2.8.3 Penalty for Noncompliance. An institution found to have violated the Association's rules shall be subject to such disciplinary and corrective actions as may be determined by the Association.

10.1 Unethical Conduct. Unethical conduct by a prospective or enrolled student-athlete or a current or former institutional staff member, which includes any individual who performs work for the institution or the athletics department even if he or she does not receive compensation for such work, may include, but is not limited to, the following: (Revised: 1/10/90, 1/9/96, 2/22/01, 8/4/05, 4/27/06, 1/8/07, 5/9/07, 10/23/07, 5/6/08, 1/16/10, 10/5/10, 4/28/16 effective 8/1/16)

- a) Refusal to furnish information relevant to an investigation of a possible violation of an NCAA regulation when requested to do so by the NCAA or the individual's institution;
- b) Knowing involvement in offering or providing a prospective or an enrolled student-athlete an improper inducement or extra benefit or improper financial aid;
- c) Knowingly furnishing or knowingly influencing others to furnish the NCAA or the individual's institution false or misleading information concerning an individual's involvement in or knowledge of matters relevant to a possible violation of an NCAA regulation;
- d) Receipt of benefits by an institutional staff member for facilitating or arranging a meeting between a student-athlete and an agent, financial advisor or a representative of an agent or advisor (e.g., "runner");
- e) Knowing involvement in providing a banned substance or impermissible supplement to student-athletes, or knowingly providing medications to student-athletes contrary to medical licensure, commonly accepted standards of care in sports medicine practice, or state and federal law. This provision shall not apply to banned substances for which the student-athlete has received a medical exception per Bylaw 31.2.3.2; however, the substance must be provided in accordance with medical licensure, commonly accepted standards of care and state or federal law;
- f) Engaging in any athletics competition under an assumed name or with intent to otherwise deceive; or
- g) Failure to provide complete and accurate information to the NCAA, the NCAA Eligibility Center or the institution's athletics department regarding an individual's amateur status.





- I have read, understand, and confirm the above information pertaining to the applicable academic year.
- I certify that I have reported (through the appropriate individuals on my campus including, but not limited to, the University of Kansas Athletic Director, the University of Kansas Faculty Athletics Representative or the Kansas Athletics Senior Associate Athletic Director for Compliance and Student Services) any knowledge of violations of NCAA or Big 12 Conference legislation involving the University of Kansas that I am aware of.
- I certify that all obligations of NCAA Constitution 2.1 (The Principle of Institutional Control and Responsibility) and NCAA Constitution 2.8 (Principle of Rules Compliance) have been met.
- I agree to uphold all principles and applications of NCAA ethical conduct rules indicated above and set forth in Bylaw 10.1

Print Name

Signature

Date

